Plaintiffs Certification of Investment of Obalon Therapeutics, Inc. [NASDAQ: OBLN]

I. hereby certify that the following information is true and correct to the best of my knowledge, information and belief: 1. I have reviewed the Complaint in this action and authorize the filing of this Certification as an exhibit to the Complaint, or any substantively similar complaint or amended complaint to be filed in the future. I retain the law office of Federman & Sherwood, and any other counsel with whom Federman & Sherwood deems appropriate to associate with, to pursue this action on my behalf on a contingency fee basis. 2. If chosen, I am willing to serve as a representative party on behalf of the class (the "Class"), either individually or as part of a group on behalf of the Class as defined in the Complaint, including providing testimony at deposition or trial (if necessary). I am also willing to participate on an executive committee of shareholders. 3. I have made the following transaction(s) during the Class Period in Obalon Therapeutics, Inc [NASDAC: OBLN] securities (which are the subject of this action) as follows: ## SHARES DATE OF PRICE CLASS OF IF SOLD, # DATE SHARE SOLD (if SHARE) ## SHARES DATE OF PRICE CLASS OF STOCK (e.g. SOLD SOLD (if SELLING SHARE) ## COMMON) 4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuifunder the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court class and as ordered and approved by the Court class and as ordered and approved by the Court class and as ordered and approved by the Court class and as ordered and approved by t				.				
to the Complaint, or any substantively similar complaint or amended complaint to be filed in the future. I retain the law office of Federman & Sherwood, and any other counsel with whom Federman & Sherwood deems appropriate to associate with, to pursue this action on my behalf on a contingency fee basis. 2. If chosen, I am willing to serve as a representative party on behalf of the class (the "Class"), either individually or as part of a group on behalf of the Class as defined in the Complaint, including providing testimony at deposition or trial (if necessary). I am also willing to participate on an executive committee of shareholders. 3. I have made the following transaction(s) during the Class Period in Obalon Therapeutics, Inc. [NASDAC: OBLN] securities (which are the subject of this action) as follows: ## SHARES PURCHASED PURCHASE	true and correc	t to the best of n	ny knowledge,	information and	hereby certify to belief:	hat the follow	ng information is	
[NASDAQ: OBLN] securities (which are the subject of this action) as follows: ## SHARES PURCHASED DATE OF PURCHASE PAID PER STOCK (e.g. OF SHARES SOLD (if SHARE S	to the Complaint the law office of appropriate to as 2. If chose individually or a testimony at de	or any substan Federman & Sl ssociate with, to en, I am willing t as part of a gro	tively similar conerwood, and pursue this aconors as a roughly on behalf conercial control of the control of th	omplaint or amer any other couns ation on my beha epresentative pa of the Class as o	nded complaint to the limit whom Found in the limit whom Found in the limit with the limit with the limit whe limit was also and the limit whe limit was also with the limit w	to be filed in the determan & S ncy fee basis the class (the Complaint, incomplaint, incomplaint	ne future. I retain therwood deems e "Class"), either cluding providing	
PURCHASED PURCHASE PAID PER STOCK (e.g. COMMON) Common Sold Sold Sold PRICE						in Obalon Tl	nerapeutics, Inc.	
4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuit under the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip			PAID PER	STOCK (e.g.	OF SHARES	SOLD (if	SELLING	
4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuit under the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip								
4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuit under the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip								
4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuit under the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip								
4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuit under the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip								
4. I did not purchase these securities at the direction of my attorney or in order to participate in a lawsuit under the Securities Act of 1933 or the Securities Exchange Act of 1934. 5. During the 3-year period preceding the date of this Certification, I have not sought to serve, nor have I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip								
I served, as a representative to any party or on behalf of any class in any action arising under the Securities Act of 1933 or the Securities Exchange Act of 1934. 6. I will not accept any payment if chosen to serve as a representative party on behalf of the Class beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature	4. I did not	purchase these	securities at th	ne direction of my		order to partic	ipate in a lawsuit	
beyond my pro rata share of an award to the Class, or as otherwise ordered and approved by the Court, except for such reasonable costs and expenses directly relating to my service as a representative of the Class and as ordered and approved by the Court. Signed under penalty of perjury, under the laws of the United States of America, this day of, 2018. Investor Signature Home Address Print Name City State Zip	I served, as a re	epresentative to	any party or o	n behalf of any c	tification, I have lass in any action	e not sought to on arising und	serve, nor have ler the Securities	
Investor Signature Home Address Print Name City State Zip	beyond my pro except for such	rata share of a reasonable co	n award to the sts and expen	e Class, or as ot ses directly rela	herwise ordere	d and approv	ed by the Court	
Print Name City State Zip	Signed u	•	perjury, under	the laws of the	United States	of America,	this day of	
	-	Investor Signat	ture		Home Address			
Home Telephone Number E-Mail Address		Print Name		City		State	Zip	
	Home Telephone Number				E-Mail Address			

Secondary Email Address (if any)

Cell Telephone Number

Return this completed form by e-mail or fax to:
Robin Hester @ FEDERMAN & SHERWOOD
10205 North Pennsylvania Avenue
Oklahoma City, OK 73120
(405) 235-1560/Fax (405) 239-2112
Email: rkh@federmanlaw.com

Website: www.federmanlaw.com