

Must be  
Postmarked or  
Submitted Online  
No Later Than  
December 5, 2017

Ubiquiti Networks Securities Litigation  
c/o GCG  
P.O. Box 10484  
Dublin, OH 43017-4084  
(844) 402-8574  
www.ubiquitisecuritieslitigation.com

UBQ



Claim Number:

Control Number:

## PROOF OF CLAIM AND RELEASE

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**Important** - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



**SECTION A - CLAIMANT IDENTIFICATION**

**Claimant or Representative Contact Information:**

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above.

**Claimant Name(s)** (as you would like the name(s) to appear on the check, if eligible for payment):

[Grid for Claimant Name(s)]

**Street Address:**

[Grid for Street Address]

**City:** **Last 4 digits of Claimant SSN/TIN:<sup>1</sup>**

[Grid for City and Last 4 digits of Claimant SSN/TIN]

**State:**      **Zip Code:**      **Country (if Other than U.S.):**

[Grid for State, Zip Code, and Country]

**Name of the Person you would like the Claims Administrator to Contact Regarding This Claim** (if different from the Claimant Name(s) listed above):

[Grid for Name of the Person to Contact]

**Daytime Telephone Number:**

**Evening Telephone Number:**

[Grid for Daytime and Evening Telephone Numbers]

**Email Address** (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

[Grid for Email Address]

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, please visit the Settlement website at [www.ubiquitisecuritieslitigation.com](http://www.ubiquitisecuritieslitigation.com) or you may e-mail the Claims Administrator's electronic filing department at [eClaim@choosegcg.com](mailto:eClaim@choosegcg.com). Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email after processing your file with your claim numbers and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at [eClaim@choosegcg.com](mailto:eClaim@choosegcg.com) to inquire about your file and confirm it was received and acceptable.

**To view GCG's Privacy Notice, please visit <http://www.choosegcg.com/privacy>**

<sup>1</sup>The last four digits of the taxpayer identification number (TIN), consisting of a valid Social Security Number (SSN) for individuals or Employer Identification Number (EIN) for business entities, trusts, estates, etc., and telephone number of the beneficial owner(s) may be used in verifying this claim.



## SECTION B - INSTRUCTIONS

### I. GENERAL INSTRUCTIONS

1. To recover as a Settlement Class Member based on your claims in the action entitled *In re Ubiquiti Networks, Inc. Securities Litigation*, Master File No. 12-cv-04677-YGR (the "Action"), YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED PROOF OF CLAIM AND RELEASE ("CLAIM FORM"), ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, **ON OR BEFORE DECEMBER 5, 2017**, ADDRESSED AS FOLLOWS:

*Ubiquiti Networks Securities Litigation*  
c/o GCG  
P.O. Box 10484  
Dublin, OH 43017-4084  
[www.ubiquitisecuritieslitigation.com](http://www.ubiquitisecuritieslitigation.com)

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.

3. If you are a Settlement Class Member and you did not timely request exclusion in connection with the proposed Settlement, you are bound by the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A CLAIM FORM.**

### II. CLAIMANT IDENTIFICATION

If you purchased or acquired the publicly traded common stock of Ubiquiti Networks, Inc. ("Ubiquiti" or the "Company") pursuant and/or traceable to Ubiquiti's Initial Public Offering ("IPO") on or about October 14, 2011, use Section A of this form entitled "Claimant Identification" to list the claimant name, mailing address, and account information if relevant (such as for a claim submitted on behalf of an IRA, Trust, or estate account). If you purchased or acquired your shares during the period from October 14, 2011 through May 3, 2012, inclusive, you are presumed to have purchased or acquired your shares pursuant and/or traceable to Ubiquiti's IPO on or about October 14, 2011. See Notice, Question 4. You are **not** part of the Settlement Class if you only purchased or acquired the publicly traded common stock of Ubiquiti **after** May 3, 2012, because your purchase or acquisition was not pursuant or traceable to Ubiquiti's IPO.

Please list the most current claimant or account name as you would like the information to appear on the check, if eligible for payment. Please also provide a telephone number and/or e-mail address, as the Claims Administrator may need to contact you with questions about the claim submitted. If your Claimant Identification information changes, please notify the Claims Administrator in writing at the address above.

All joint purchasers must sign this claim. If you are acting in a representative capacity on behalf of a Settlement Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Settlement Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents or other documents which provide you with the authority to submit the claim. Please also indicate your representative capacity under your signature on page 6 of this Claim Form.

### III. CLAIM FORM

Use Section C of this form entitled "Schedule of Transactions in Ubiquiti Publicly Traded Common Stock" to supply all required details of your transaction(s). Neither the Claims Administrator, the Defendants, nor the Lead Plaintiffs have access to your transactional information. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to all of your purchases or acquisitions of Ubiquiti publicly traded common stock which took place from October 14, 2011 through April 25, 2013, inclusive, and **all** of your sales of Ubiquiti common stock which took place prior to or on April 25, 2013, whether such transactions resulted in a profit or a loss. You must also provide the amount of Ubiquiti publicly traded common stock you held at the close of trading on April 25, 2013. Failure to report all such transactions may result in the rejection of your claim.



## SECTION B - INSTRUCTIONS (CONTINUED)

This information is needed in order to calculate your claim under the Plan of Allocation. Transactional information about purchases and sales after the IPO is needed in order to properly apply the formulas in the Plan of Allocation, which generally follow how damages are calculated under the 1933 Act. Purchases/acquisitions after May 3, 2012, however, are not considered to have been pursuant or traceable to Ubiquiti's IPO and therefore are not eligible for a recovery under the Plan of Allocation. April 25, 2013 is when Ubiquiti's stock price rebounded to \$15.00, the IPO offering price. Accordingly shares sold after April 25, 2013 are not eligible for a recovery because under the 1933 Act, a purchaser cannot recover based on a share sold for a price greater than or equal to the IPO offering price. See Notice, pages 8-9.

List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

The date of covering a "short sale" is deemed to be the date of purchase of Ubiquiti common stock. The date of a "short sale" is deemed to be the date of sale of Ubiquiti common stock.

**COPIES OF BROKER CONFIRMATIONS OR OTHER DOCUMENTATION OF YOUR TRANSACTIONS SHOULD BE ATTACHED TO YOUR CLAIM. FAILURE TO PROVIDE THIS DOCUMENTATION COULD DELAY VERIFICATION OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.**



**SECTION C - SCHEDULE OF TRANSACTIONS IN UBIQUITI  
PUBLICLY TRADED COMMON STOCK**

**1. PURCHASES/ACQUISITIONS:** Separately list each and every purchase and/or acquisition of Ubiquiti Common Stock from **October 14, 2011** to **April 25, 2013**, inclusive. (Must be documented.)

Date(s) of Purchase or Acquisition List Chronologically (Month/Day /Year)	Number of Shares Purchased/Acquired	Purchase Price Per Share	Total Purchase Price (Excluding fees, taxes, and commissions)
/  /		.	.
/  /		.	.
/  /		.	.
/  /		.	.
/  /		.	.
/  /		.	.

**2. SALES:** Separately list each and every sale of Ubiquiti Common Stock from **October 14, 2011** to **April 25, 2013**, inclusive. (Must be documented.)

Date(s) of Sale List Chronologically (Month/Day /Year)	Number of Shares Sold	Sales Price Per Share	Total Sale Price (Excluding fees, taxes, and commissions)
/  /		.	.
/  /		.	.
/  /		.	.
/  /		.	.
/  /		.	.
/  /		.	.

**3. ENDING HOLDINGS:** State the number of shares of Ubiquiti common stock that you held as of the close of trading on **April 25, 2013**. If none, write "zero" or "0". (Must be documented.)

Shares					

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU **MUST**  
PHOTOCOPY THIS PAGE AND CHECK THIS BOX   
IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL **NOT** BE REVIEWED



**SECTION D – SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS**

1. I (We) submit this Claim Form under the terms of the Stipulation and Agreement of Settlement described in the Notice and available at [www.ubiquitisecuritieslitigation.com](http://www.ubiquitisecuritieslitigation.com). I (We) also submit to the jurisdiction of the United States District Court, Northern District of California, with respect to my (our) claim as a Settlement Class Member. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Action. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim covering the same purchases or sales of Ubiquiti common stock during the relevant periods and know of no other person having done so on my (our) behalf.

2. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases of Ubiquiti common stock which took place from October 14, 2011 through April 25, 2013, and all of my (our) sales of Ubiquiti common stock during this period, as well as the number of shares held by me (us) at the close of trading on April 25, 2013.

I (We) declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_  
(Month/Year)

\_\_\_\_\_  
Signature of Claimant

\_\_\_\_\_  
Print Name of Claimant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Joint Claimant, if any

\_\_\_\_\_  
Print Name of Joint Claimant, if any

\_\_\_\_\_  
Date

***If claimant is other than an individual, or is not the person completing this form, the following also must be provided:***

\_\_\_\_\_  
Signature of Person Completing Form

\_\_\_\_\_  
Print Name of Person Completing Form

\_\_\_\_\_  
Date

\_\_\_\_\_  
Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, custodian, etc.

## REMINDER CHECKLIST

### ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.

1. Please sign above. If this Claim Form is being submitted on behalf of joint claimants, then both must sign.
2. Remember to attach only copies of supporting documentation. **Do not send** original stock certificates or documentation as they will not be returned.
3. Keep a copy of your Claim Form and all supporting documentation for your records.
4. If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
5. If your address changes in the future, or if the Claim Form was sent to an old or incorrect address, please send the Claims Administrator written notification of your new address. If you change your name, please inform the Claims Administrator.
6. **Please do not highlight or use red pen** on the Claim Form or supporting documents.
7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the below address or at 1-844-402-8574, [info@ubiquitisecuritieslitigation.com](mailto:info@ubiquitisecuritieslitigation.com), or visit [www.ubiquitisecuritieslitigation.com](http://www.ubiquitisecuritieslitigation.com).

**THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR, IF MAILED,  
POSTMARKED NO LATER THAN DECEMBER 5, 2017, ADDRESSED AS FOLLOWS:**

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